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no. EL833457325US In an envelope addressed to the
Assistant Commissioner of Patents, Washington, D.C.
20231, on January 22, 2002



01-24-0

SECRET
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor:	Brad E. Hossler)	
)	
Serial No.:	09/993,386)	Examiner: N/A
)	
Attorney Docket No.	29947/92661)	
)	Art Unit: 3613
Filing Date:	November 16, 2001)	
)	
Title:	ROPE LOCKING DEVICE WITH)	
	AUTOMATIC SAFETY MECHANISM)	

RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner of Patents
BOX MISSING PARTS
Washington, D.C. 20231

Dear Sir:

In response to the Notice to File Missing Parts mailed December 5, 2001, enclosed is a Declaration and Power of Attorney signed by the inventors, a check in the amount of \$435.00 to cover the costs indicated in the Notice to File Missing Parts, and a Request for Corrected Filing Receipt. Applicant's representative attests that the inventors and their assignee are small entities.

No fees are believed due at this time, however, please charge any deficiencies or credit any overpayments to deposit account number 10-0435 with reference to our attorney docket number (29947/92261).

Respectfully submitted,

Alice O. Martin

Registration No. 35,601

Attorney for Applicant

BARNES & THORNBURG
2600 Chase Plaza
10 S. LaSalle Street
Chicago, IL 60603
(312) 357-1313
January 22, 2002
CHDS01 AOM 120859v1

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Title:	ROPE LOCKING DEVICE WITH)	
	AUTOMATIC SAFETY MECHANISM)	

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
BOX MISSING PARTS
Washington, D.C. 20231

Please amend the Filing Receipt (copy showing corrections enclosed) for the above-captioned matter such that in the Attorney Docket Number reads correctly as "29947/92661."

Respectfully submitted,

BARNES & THORNBURG

Alice O. Martin

Registration No. 35,601

BARNES & THORNBURG
2600 Chase Plaza
10 South LaSalle Street
Chicago, Illinois 60603
(312) 357-1313
January 22, 2002



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/993,386	11/16/2001	3613	0.00	29947/92261	6	10	2

23644
BARNES & THORNBURG
2600 CHASE PLAZA
10 LASALLE STREET
CHICAGO, IL 60603



CONFIRMATION NO. 4933

FILING RECEIPT



OC00000007158798

Date Mailed: 12/05/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Brad E. Hossler, Residence Not Provided;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 12/04/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

Rope locking device with automatic safety mechanism

Preliminary Class

188



LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
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WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/993,386	11/16/2001	Brad E. Hossler	29947/92261

23644
BARNES & THORNBURG
2600 CHASE PLAZA
10 LASALLE STREET
CHICAGO, IL 60603



CONFIRMATION NO. 4933

FORMALITIES LETTER



OC000000007158799

Date Mailed: 12/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 435.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

01/29/2002 VTRUONG1 00000094 09993386

01 FC:201
02 FC:205

370.00 OP
65.00 OP

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE